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Communication: Relation of centroid molecular dynamics and ring-polymer molecular dynamics to exact quantum dynamics

Timothy J. H. Hele, Michael J. Willatt, Andrea Muolo, a) and Stuart C. Althorpe b)
Department of Chemistry, University of Cambridge, Lensfield Road, Cambridge CB2 1EW, United Kingdom

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We recently obtained a quantum-Boltzmann-conserving classical dynamics by making a single change to the derivation of the “Classical Wigner” approximation. Here, we show that the further approximation of this “Matsubara dynamics” gives rise to two popular heuristic methods for treating quantum Boltzmann time-correlation functions: centroid molecular dynamics (CMD) and ring-polymer molecular dynamics (RPMD). We show that CMD is a mean-field approximation to Matsubara dynamics, obtained by discarding (classical) fluctuations around the centroid, and that RPMD is the result of discarding a term in the Matsubara Liouvillian which shifts the frequencies of these fluctuations. These findings are consistent with previous numerical results and give explicit formulae for the terms that CMD and RPMD leave out. © 2015 AIP Publishing LLC. [http://dx.doi.org/10.1063/1.4921234]

I. INTRODUCTION

Quantum Boltzmann time-correlation functions play a central role in chemical physics and are (usually) impossible to calculate exactly. One promising approach is to treat the statistics quantally and the dynamics classically. The standard way to do this is to use the linearized semi-classical initial value representation (LSC-IVR or “classical Wigner approximation”), but this has the drawback of not satisfying detailed balance. Recently, however, we found that a single change to the LSC-IVR derivation gives a classical dynamics which does satisfy detailed balance. This modified version of LSC-IVR is called “Matsubara dynamics.”

We can summarise Matsubara dynamics as follows: at initial time, the quantum statistics gives rise to delocalized distributions in position which are smooth functions of imaginary time. If we constrain the LSC-IVR dynamics to conserve this smoothness (by including only the smooth “Matsubara” modes—see Sec. II), we find that it satisfies detailed balance and gives better agreement than LSC-IVR with the exact quantum result.

II. SUMMARY OF MATSUBARA DYNAMICS

Matsubara dynamics approximates the quantum Kubo-transformed time-correlation function

$$C_{AB}(t) = \frac{1}{\beta} \int_0^\beta d\lambda \text{Tr}[e^{-\beta H} \tilde{A} e^{-(\beta-\lambda)H} \tilde{B} e^{-iHt/\hbar}]$$

by

$$C_{AB}^{\text{Mats}}(t) = \lim_{\gamma \to \infty} C_{AB}^{\gamma}(t),$$

where

$$C_{AB}^{\gamma}(t) = \frac{\gamma M}{2\pi \hbar} \int d\tilde{P} \int d\tilde{Q} A(\tilde{Q}) e^{-\beta M [\tilde{P}, \tilde{Q}]} e^{-i\theta_M(\tilde{P}, \tilde{Q})} \times e^{i\tilde{L} M t} B(\tilde{Q})$$

and $\gamma_M = k(1-M)/(M-1/2)$. The position coordinates $\tilde{Q}$ are obtained from the operators $\hat{A}$ and $\hat{B}$ (see the Appendix), such that $\hat{A} = \hat{B} = \tilde{q}$ gives $A(\tilde{Q}) = B(\tilde{Q}) = \tilde{Q}_0$. The propagator $e^{i\tilde{L} M t}$ contains the Matsubara Liouvillian

$$\tilde{L}_M = \sum_{n} \left( \frac{M-1/2}{M} \right) \tilde{P}_n \frac{\partial}{\partial \tilde{Q}_n} - \frac{\partial \tilde{U}_M(\tilde{Q})}{\partial \tilde{Q}_n} \frac{\partial}{\partial \tilde{P}_n}$$

in which the potential energy $\tilde{U}_M(\tilde{Q})$ is given in the Appendix. The quantum Boltzmann distribution in Eq. (3) is complex and
contains the Matsubara Hamiltonian

\[ \tilde{H}_M(\vec{P}, \vec{Q}) = \frac{\vec{P}^2}{2m} + \tilde{U}_M(\vec{Q}) \]  

(5)

and the phase

\[ \theta_M(\vec{P}, \vec{Q}) = \sum_{n=-(M-1)/2}^{(M-1)/2} \tilde{P}_n \tilde{\omega}_n \tilde{Q}_{-n}, \]  

(6)

where \( \tilde{\omega}_n \) are the Matsubara frequencies \( \tilde{\omega}_n = 2\pi n/\beta \). Matsubara dynamics is inherently classical (meaning that terms \( O(h^2) \) disappear from the quantum Liouvilian on decoupling the Matsubara modes, leaving \( \mathcal{L}_M \)), and conserves the Hamiltonian \( \tilde{H}_M(\vec{P}, \vec{Q}) \) and the phase \( \theta_M(\vec{P}, \vec{Q}) \), and thus satisfies detailed balance.

Clearly, Eq. (3) suffers from the sign problem because of the phase \( \theta_M(\vec{P}, \vec{Q}) \). Let us make the coordinate transformation \( \vec{P}_n = \tilde{P}_n - im\tilde{\omega}_n \tilde{Q}_{-n} \). This gives

\[
C^{[M]}_{AB}(t) = \frac{\alpha M}{2\pi} \int d\vec{P} \int d\vec{Q} A(\vec{Q}) e^{-\beta \tilde{H}_M(\vec{P}, \vec{Q}) - i\theta_M(\vec{P}, \vec{Q})} \tilde{U}_M(\vec{Q}) \]  

(7)

where

\[
\tilde{R}_M(\vec{P}, \vec{Q}) = \left( \sum_{n=-(M-1)/2}^{(M-1)/2} \frac{\tilde{P}_n^2}{2m} + \frac{m}{2} \tilde{\omega}_n^2 \tilde{Q}_{-n}^2 \right) \]  

(8)

is the “ring-polymer” Hamiltonian familiar from quantum statistics.\(^{10-13}\) Equation (7) is simply Eq. (3) in disguise, but at \( t = 0 \), we can use a standard contour-integration trick\(^{14}\) to shift \( \tilde{P}_n \) onto the real axis giving

\[
C^{[M]}_{AB}(0) = \frac{\alpha M}{2\pi} \int d\vec{P} \int d\vec{Q} A(\vec{Q})B(\vec{Q}) e^{-\beta \tilde{R}_M(\vec{P}, \vec{Q})} \]  

(9)

which now contains the (real) ring-polymer distribution,\(^{10}\) and hence no longer suffers from the sign problem. Unfortunately, this trick does not work for \( t > 0 \) (see Sec. IV), so we are stuck with Eq. (3), which motivates us to find approximations to Matsubara dynamics.

### III. CENTROID MEAN-FIELD APPROXIMATION

This approximation can be made if \( A(\vec{Q}) \) is a function of just the centroid \( \tilde{Q}_0 \) (or \( \tilde{P}_0 \))\(^9\), in which case we need only the Matsubara dynamics of the centroid reduced density

\[
b(\tilde{Q}_0, \tilde{P}_0, t) = \int d\vec{P}' \int d\vec{Q}' e^{-\beta \tilde{H}_M(\tilde{P}', \tilde{Q}) - i\theta_M(\tilde{P}', \tilde{Q})} \times e^{\frac{1}{\beta} \mathcal{L}_M B(\tilde{Q})}, \]  

(10)

where the primes denote integration over all modes except \( \tilde{P}_0 \) and \( \tilde{Q}_0 \). Differentiation with respect to \( t \), application of Eq. (4), and integration by parts give

\[
b(\tilde{Q}_0, \tilde{P}_0, t) = \int d\vec{P}' \int d\vec{Q}' e^{-\beta \tilde{H}_M(\tilde{P}', \tilde{Q}) - i\theta_M(\tilde{P}', \tilde{Q})} \times \mathcal{L}_0 e^{\frac{1}{\beta} \mathcal{L}_M B(\tilde{Q})}, \]  

(11)

where

\[
\mathcal{L}_0 = \frac{\tilde{P}_0}{m} \frac{\partial}{\partial \tilde{P}_0} - \frac{\partial \tilde{U}_M(\tilde{Q})}{\partial \tilde{Q}_0} \frac{\partial}{\partial \tilde{Q}_0}. \]  

(12)

In the usual way of mean-field dynamics,\(^{15}\) we can split the force on the centroid into

\[
- \frac{\partial \tilde{U}_M(\tilde{Q})}{\partial \tilde{Q}_0} = F_0(\tilde{Q}_0) + F_{\text{fluct}}(\tilde{Q}), \]  

(13)

where \( F_0(\tilde{Q}_0) \) is the mean-field force

\[
F_0(\tilde{Q}_0) = -\frac{1}{Z(\tilde{Q}_0)} \int d\vec{P}' \int d\vec{Q}' e^{-\beta \tilde{H}_M(\tilde{P}', \tilde{Q}) - i\theta_M(\tilde{P}', \tilde{Q})} \frac{\partial \tilde{U}_M(\tilde{Q})}{\partial \tilde{Q}_0} \]  

(14)

and \( F_{\text{fluct}}(\tilde{Q}) \) is the fluctuation force (defined by Eq. (13) as the difference between the exact force and \( F_0(\tilde{Q}_0) \)). Equation (11)
then splits into
\[ b(\tilde{Q}_0, \tilde{P}_0, t) = \left[ \frac{\tilde{P}_0}{m} \frac{\partial}{\partial \tilde{Q}_0} + F_0(\tilde{Q}_0) \frac{\partial}{\partial P_0} \right] b(\tilde{Q}_0, \tilde{P}_0, t) + \int d\tilde{P} \int d\tilde{Q} \ e^{-\mu I_M(\tilde{P}, \tilde{Q})} F_{\text{fluct}}(\tilde{Q}) \frac{\partial}{\partial P_0} e^{L_{M\text{t}}^I B(\tilde{Q})}. \] (16)

This type of expression is encountered in coarse-graining, where the integral is sometimes approximated by a generalized Langevin term. It is an exact rewriting of Eq. (11). Neglect of the integral term gives the mean-field approximation
\[ b(\tilde{Q}_0, \tilde{P}_0, t) \approx \left[ \frac{\tilde{P}_0}{m} \frac{\partial}{\partial \tilde{Q}_0} + F_0(\tilde{Q}_0) \frac{\partial}{\partial P_0} \right] b(\tilde{Q}_0, \tilde{P}_0, t) \quad (17) \]
which is CMD.3,4,16

Thus, CMD corresponds to approximating Matsubara dynamics by leaving out the fluctuation term in Eq. (16). This result is not a surprise and is consistent with previous numerical findings that CMD causes errors through neglect of fluctuations (see Sec. V). What is new is that Eq. (16) gives an explicit formula for these fluctuations in the case that the quantum dynamics can be approximated by Matsubara dynamics.

IV. ANALYTIC CONTINUATION AT \( t > 0 \)

We now return to Eq. (7), which is just Eq. (3) rewritten in terms of \( (\tilde{P}, \tilde{Q}) \). Expressing \( \mathcal{L}_M \) in terms of these coordinates gives
\[ \mathcal{L}_M = \mathcal{L}_M^{[\text{RP}]} + i \mathcal{L}_M^{[\text{I}]} \] (18)
where
\[ \mathcal{L}_M^{[\text{RP}]} = \sum_{n=-(M-1)/2}^{(M-1)/2} \tilde{P}_n \frac{\partial}{\partial \tilde{Q}_n} - \left[ m \tilde{\omega}_n \tilde{Q}_n + \frac{\partial \tilde{U}_M(\tilde{Q})}{\partial \tilde{Q}_n} \right] \frac{\partial}{\partial \tilde{P}_n} \] (19)
is the RPMD Liouvillean (corresponding to the ring-polymer Hamiltonian \( \tilde{R}_M(\tilde{P}, \tilde{Q}) \)) and
\[ \mathcal{L}_M^{[\text{I}]} = \sum_{n=-(M-1)/2}^{(M-1)/2} \tilde{\omega}_n \left( \tilde{P}_n \frac{\partial}{\partial \tilde{P}_n} - \tilde{Q}_n \frac{\partial}{\partial \tilde{Q}_n} \right). \] (20)

Note that the complete Liouvillean \( \mathcal{L}_M \) does not correspond to a Hamiltonian in \( (\tilde{P}, \tilde{Q}) \) (because the transformation from \( (P, Q) \) to \( (\tilde{P}, \tilde{Q}) \) is non-canonical), and that any resemblance to RPMD is at this stage illusory, since the imaginary parts of \( \tilde{P}_n, n \neq 0 \), contribute terms that cancel the spring terms in \( \mathcal{L}_M^{[\text{RP}]} \).

If we now try to shift \( \tilde{P}_n, n \neq 0, \) onto the real axis, we find that the dynamics generated by \( \mathcal{L}_M \) propagates an initial distribution of real phase-space points into the complex plane along unstable trajectories. We do not know whether the contour-integration trick remains valid for such trajectories; even if it does, they appear to be at least as difficult to treat numerically as the sign problem in Eq. (3).

However, it is possible14 to follow a path along which one gradually moves \( \tilde{P}_n, n \neq 0, \) towards the real axis whilst gradually discarding \( \mathcal{L}_M^{[\text{I}]} \), such that the dynamics remains stable (and the contour-integration trick remains valid) at every point along the path. At the end of the path, \( \mathcal{L}_M^{[\text{I}]} \) has been completely discarded, and \( \tilde{P}_n \) has reached the real axis. This results in the approximation,
\[ C_{\text{AB}}^M(t) \approx \frac{\alpha_M}{2\pi\hbar} \int d\tilde{Q} \ A(\tilde{Q}) e^{-\mu \tilde{R}_M(\tilde{P}, \tilde{Q})} e^{L_{M\text{t}}^{[\text{RP}]} B(\tilde{Q})} \] (21)
which is RPMD.5,6,18

A harmonic analysis14 shows that the main effect of discarding \( \mathcal{L}_M^{[\text{I}]} \) is erroneously to shift the Matsubara fluctuation frequencies to the ring-polymer frequencies. Since \( \mathcal{L}_M^{[\text{I}]} \) does not act directly on \( \tilde{Q}_0 \), it follows that a RPMD time-correlation function involving linear operators (for which \( B(\tilde{Q}) = \tilde{Q}_0 \) or \( \tilde{P}_0 \)) will agree initially with the Matsubara result but will then lose accuracy as the errors in the fluctuation dynamics couple to the centroid through the anharmonicity in \( \tilde{U}_M(\tilde{Q}) \). This result is not a surprise, as the ring-polymer frequencies are known to interfere with the centroid dynamics.5,17 What is new is that we have identified the approximation made by RPMD, namely, the neglect of \( \mathcal{L}_M^{[\text{I}]} \).

V. DISCUSSION

We have shown that both CMD and RPMD are approximations to Matsubara dynamics, which, as mentioned in the Introduction, is probably the correct way to describe quantum statistics and classical dynamics. CMD neglects the Matsubara fluctuation term; RPMD neglects part of the Matsubara Liouvillean. So far as we can tell, there is no direct physical justification that can be given for either of these approximations. CMD and RPMD are useful because, as has long been known,3,4,16 they preserve detailed balance and satisfy a number of important limits. These properties can be rederived from Matsubara dynamics and are listed in Table I. Note also that CMD and RPMD give the same \( t = 0 \) leading-order error terms when compared with Matsubara dynamics as with the exact quantum dynamics.20,21

One new finding, less drastic than it first appears, is that both CMD and RPMD give qualitatively wrong fluctuation dynamics at barriers. In Matsubara dynamics, some of the distributions in \( q(\tau) \) stretch indefinitely over the barrier top, such that a proportion of the distribution ends up on either side. In CMD and RPMD, all of the distribution ends up on one side of the barrier (because CMD decouples the fluctuation modes necessary for stretching over the barrier, and RPMD shifts the frequencies of these modes from imaginary to real). However, CMD and RPMD are still powerful tools for estimating quantum reaction rates, as the exact \( t = 0 \) behaviour of these methods (see Table I) ensures that classical rate theory (in the mean-field centroid or ring-polymer space) gives lower bound estimates of the \( t \to 0 \) quantum transition-state theory rate,23 for the special case of a centroid dividing-surface (CMD) and for the general case (RPMD).
TABLE I. Properties of CMD and RPMD derived from Matsubara dynamics (TCF = time-correlation function).

<table>
<thead>
<tr>
<th>CMD</th>
<th>RPMD</th>
</tr>
</thead>
<tbody>
<tr>
<td>satisfies detailed balance, because the centroid mean-field force is decoupled from the Matsubara fluctuations</td>
<td>satisfies detailed balance, because ( {\mathcal{L}}<em>{M}^{[\text{RP}]} ) and ( {\mathcal{L}}</em>{M}^{[\text{I}]} ) [in Eq. (18)] independently satisfy detailed balance</td>
</tr>
<tr>
<td>is the centroid mean-field approximation to Matsubara dynamics</td>
<td>has the same centroid mean-field approximation as Matsubara dynamics, namely, CMD</td>
</tr>
<tr>
<td>is exact for linear TCFs in the harmonic limit, since the centroid mean-field force is then equal to the Matsubara force</td>
<td>is exact for linear TCFs in the harmonic limit, since the neglected term ( \rho_{\text{M}}^{[\text{I}]} ) does not act on the centroid</td>
</tr>
<tr>
<td>gives the exact centroid-averaged Matsubara Liouvillian dynamics at ( t = 0 )</td>
<td>gives the exact Matsubara Liouvillian dynamics at ( t = 0 )</td>
</tr>
<tr>
<td>suffers from the curvature problem in vibrational spectra because of the neglect of the Matsubara fluctuations</td>
<td>suffers from spurious resonances in vibrational spectra because the neglect of ( {\mathcal{L}}_{M}^{[\text{I}]} ) shifts the fluctuation frequencies</td>
</tr>
<tr>
<td>gives the mean-field-averaged Matsubara force on the centroid</td>
<td>gives the exact Matsubara force on the centroid</td>
</tr>
<tr>
<td>breaks down completely for non-linear ( \hat{A} ) and ( \hat{B} ) (see Fig. 1(b)) because ( A(\overline{Q}) ) and ( B(\overline{Q}) ) depend on non-centroid modes</td>
<td>breaks down more rapidly for non-linear (than for linear) ( \hat{A} ) and ( \hat{B} ) (see Fig. 1(b)) because the neglected term ( {\mathcal{L}}_{M}^{[\text{I}]} ) acts directly on the non-centroid modes</td>
</tr>
</tbody>
</table>

The main new result of this work is that, in relating CMD and RPMD to Matsubara dynamics, we have obtained explicit formulae for the terms that are left out, which may lead to improvements in these methods. For example, it might be possible to include approximately the Matsubara fluctuation term of Eq. (16) which is missing in CMD or to exploit the property that RPMD gives the correct Matsubara force on the centroid.\(^{24}\)

ACKNOWLEDGMENTS


APPENDIX: MATSUBARA MODES

The set of \( M \) Matsubara modes \( \overline{Q} \) is defined as\(^{3}\)

\[
\overline{Q}_{n} = \lim_{N \to \infty} \frac{1}{\sqrt{N}} \sum_{l=1}^{N} T_{ln} q_{l}, \quad n = 0, \pm 1, \ldots, \pm(M - 1)/2,
\]

(A1)

where \( M \) is odd\(^{3}\) and satisfies \( M \ll N \); \( q \equiv \{ q_{l} \}, l = 1, N \), are a set of discrete path-integral coordinates distributed at equally spaced intervals \( \beta h/N \) of imaginary time and

\[
T_{ln} = \begin{cases} 
\frac{N^{-1/2}}{2N} \sin(2\pi n/N) & n = 0, \\
\frac{N^{-1/2}}{2N} \cos(2\pi n/N) & n = 1, \ldots, (M - 1)/2
\end{cases},
\]

(A2)

The momentum coordinates \( \overline{P} \) are similarly defined in terms of \( \mathbf{p} \), \( \mathbf{Q}_{0} \), and \( \mathbf{P}_{0} \) that are the position and momentum centroid coordinates. We define the associated Matsubara frequencies \( \omega_{n} \equiv 2\pi n/\beta h \) such that they include the sign of \( n \), which gives \( \theta_{M}(\mathbf{P}, \mathbf{Q}) \) the simple form of Eq. (6).

The functions \( A(\overline{Q}) \) and \( B(\overline{Q}) \) in Eq. (3) are obtained by making the substitutions

\[
q_{l} = \sqrt{N} \sum_{n = -(M - 1)/2}^{(M - 1)/2} T_{ln} \overline{Q}_{n}
\]

(A3)

into the functions

\[
A(q) = \frac{1}{N} \sum_{l=1}^{N} A(q_{l}), \quad B(q) = \frac{1}{N} \sum_{l=1}^{N} B(q_{l}). \quad \text{(A4)}
\]

The Matsubara potential \( \overline{U}_{M}(\overline{Q}) \) is obtained similarly by substituting for \( q_{l} \) in the ring-polymer potential

\[
U_{N}(q) = \frac{1}{N} \sum_{l=1}^{N} V(q_{l}). \quad \text{(A5)}
\]

We emphasise that the formulae above and in Sec. II result from just one approximation, namely, decoupling the Matsubara modes from the non-Matsubara modes in the exact quantum Liouvillian (which causes all Liouvillian terms \( O(h^{2}) \) to vanish).\(^{2}\)

7This article considers only dynamics on a single Born–Oppenheimer potential energy surface; it might be possible to extend the analysis to multi-surface methods using the Meyer-Miller representation; see H. D. Meyer et al. J. Chem. Phys. 142, 191101 (2015).

To reduce clutter, we define $C_{AB}(t)$ without the factor of $1/Z$ (where $Z$ is the quantum partition function).

To simplify the algebra, we assume that the system is one-dimensional, and that $\hat{A}$ and $\hat{B}$ are functions of $\hat{q}$. Matsubara dynamics generalizes straightforwardly to multi-dimensions and to operators that are functions of $\hat{p}$; see Ref. 2.

Note that this distribution corresponds to the Matsubara (i.e., smoothed) version of the ring-polymer distribution of Ref. 11 which converges in the large $M$ limit to the same distribution as the more commonly used discrete form of Refs. 12 and 13.


See supplementary material at http://dx.doi.org/10.1063/1.4921234 for details of the contour-integration and for a harmonic analysis of the fluctuations.


See Q. Shi and E. Geva, *J. Chem. Phys.* 118, 8173 (2003), which obtains CMD by decoupling the centroid from LSC-IVR dynamics (which is equivalent to filtering out the non-Matsubara modes from LSC-IVR, then following the steps above).


Strictly speaking, this is the Matsubara-smoothed version of RPMD, but it converges in the large $M$ limit to the more familiar (and practical) discrete version of RPMD in Refs. 5 and 6; see also Ref. 10.

The only property that cannot be derived from Matsubara dynamics is that CMD is equivalent to a minimum-energy wave-packet treatment in the limit $T \to 0$ (where, clearly, one does not expect a description based on quantum statistics and classical dynamics to be valid); see R. Ramírez and T. López-Ciudad, *J. Chem. Phys.* 111, 3339 (1999).


Note that two of the RPMD normal-mode frequencies become imaginary on cooling below the instanton cross-over temperature of $\beta \hbar = 2\pi / |\omega|$; see J. O. Richardson and S. C. Althorpe, *J. Chem. Phys.* 131, 214106 (2009).


This may explain the success of the recently developed thermostatted-RPMD method; see M. Rossi, M. Ceriotti, and D. E. Manolopoulos, *J. Chem. Phys.* 140, 234116 (2014).

The RPMD, Matsubara, and exact quantum results would tend to the same $t \to \infty$ limit in the case of an ergodic system (which this is not).